



# Synchronization of energy consumption by human societies throughout the Holocene

Jacob Freeman<sup>a,b,1</sup>, Jacopo A. Baggio<sup>c,d</sup>, Erick Robinson<sup>e</sup>, David A. Byers<sup>a</sup>, Eugenia Gayo<sup>f</sup>, Judson Byrd Finley<sup>a</sup>, Jack A. Meyer<sup>g</sup>, Robert L. Kelly<sup>e</sup>, and John M. Anderies<sup>h</sup>

<sup>a</sup>Department of Sociology, Social Work, and Anthropology, Utah State University, Logan, UT 84322; <sup>b</sup>Ecology Center, Utah State University, Logan, UT 84322; <sup>c</sup>Department of Political Science, University of Central Florida, Orlando, FL 32816; <sup>d</sup>Sustainable Coastal Cluster, National Center for Integrated Coastal Research, Orlando, FL 32816; <sup>e</sup>Department of Anthropology, University of Wyoming, Laramie, WY 82071; <sup>f</sup>Center for Climate and Resilience Research, Santiago 8370449, Chile; <sup>g</sup>Far Western Anthropological Research Group, Inc., Davis, CA 95618; and <sup>h</sup>School of Sustainability, Arizona State University, Tempe, AZ 85287

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**We conduct a global comparison of the consumption of energy by human populations throughout the Holocene and statistically quantify coincident changes in the consumption of energy over space and time—an ecological phenomenon known as synchrony. When populations synchronize, adverse changes in ecosystems and social systems may cascade from society to society. Thus, to develop policies that favor the sustained use of resources, we must understand the processes that cause the synchrony of human populations. To date, it is not clear whether human societies display long-term synchrony or, if they do, the potential causes. Our analysis begins to fill this knowledge gap by quantifying the long-term synchrony of human societies, and we hypothesize that the synchrony of human populations results from (i) the creation of social ties that couple populations over smaller scales and (ii) much larger scale, globally convergent trajectories of cultural evolution toward more energy-consuming political economies with higher carrying capacities. Our results suggest that the process of globalization is a natural consequence of evolutionary trajectories that increase the carrying capacities of human societies.**

the long term. In the end, we document significant, long-term synchrony among human systems. The causes of this synchrony may include the creation of trade and migration flows via more local-scale social networks and much longer term, globally convergent trajectories of cultural evolution toward political economies that consume more energy and raise the carrying capacities of human societies. Our study illustrates the enormous potential for radiocarbon records to serve as the basis for millennial-scale, global comparisons of human energy dynamics unprecedented for most other species and raises critical methodological challenges for achieving this potential.

To investigate the synchronous consumption of energy by human populations over the long term, we use two datasets. First, the radiocarbon records of the western United States, British Isles, Australia, and Northern Chile aggregate thousands of radiocarbon ages on preserved organic items from the trash deposits of past human societies, such as wood, charcoal, small seeds, and animal bones. These data provide estimates of changes in the production of waste by populations over time due to the consumption of energy and may be used to infer changes

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**A**mong many species, changes in the attributes of populations coincide over space and time—a phenomenon known as synchrony (1). To date, it is not clear whether human societies display synchronous changes in population attributes or, if they do, what mechanisms might cause synchrony at different scales of space and time. To help fill this knowledge gap, we conduct a global comparison of historical and radiocarbon records over the last 130 and 10,000 y, respectively. These records provide an opportunity to study the long-term synchrony of human energy consumption (e.g., refs. 2–5). The consumption of energy refers to the conversion of biomass into work and waste. In any human population, some proportion of the energy consumed over time goes to meeting the subsistence needs of a population and building infrastructure related to the political-economic activity underlying social organization. Larger populations or greater political-economic activity require more energy during any given time period. Thus, energy consumption provides a metric of the expansion and contraction of human systems.

Investigating the long-term causes of synchrony among human populations complements more traditional studies in sustainability that focus on the potential for a single human population to overshoot the carrying capacity of an environment and collapse or on social institutions that lessen the impacts of large populations on ecosystems (e.g., refs. 6–11). Among synchronous populations, the potential exists for adverse changes in ecosystems and social systems to cascade from society to society, leading to widespread social disruption. Hence, policies designed to promote the sustainable use of resources may benefit from understanding the processes that cause the synchrony of human populations over

## Significance

**We report coincident changes in the consumption of energy by human populations over the last 10,000 y—synchrony—and document patterns consistent with the contemporary process of globalization operating in the past. Our results suggest that the process of globalization may display great antiquity among our species, and this knowledge provides an entry point for integrating insights from archaeological research into discussions on the long-term consequences of globalization for building sustainable societies. Our results demonstrate the potential for archaeological radiocarbon records to serve as a basis for millennial-scale comparisons of human energy dynamics and provide a baseline for further cross-cultural research on the long-term growth and decline trajectories of human societies.**

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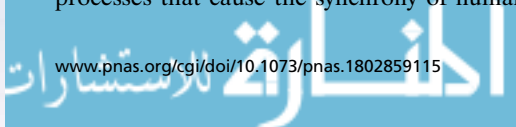
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Data deposition: All data, scripts, and instructions for running our analysis have been deposited on Github (<https://github.com/people3k/pop-solar-sync>; doi: 10.5281/zenodo.1340714).

<sup>1</sup>To whom correspondence should be addressed. Email: [jacob.freeman@usu.edu](mailto:jacob.freeman@usu.edu).

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in energy consumption more broadly (12, 13); see also refs. 3 and 14–16. Second, we use energy-consumption records since 1880 from Canada, England and Wales, France, Germany, Italy, The Netherlands, Portugal, and Sweden (4). The radiocarbon records provide estimates of energy consumption over the last 10,000 y in diverse societies. The historical records document annual changes in energy consumption among a set of societies undergoing exponential-like growth due to changes in technology and social organization and were integrated via political alliances, conflict, migration, and trade networks (4). In short, the historical dataset provides a frame of reference (*sensu ref. 17*) for inferring synchrony in the radiocarbon records.

The synchrony of energy consumption among human societies, at a global scale, could result from two global mechanisms. First, human societies may all respond similarly to fluctuations in an external driver—the so-called Moran effect (18). A longstanding argument in economics is that economic development is controlled, in organic economies, by the availability of energy (4, 19, 20). An organic economy is one in which real-time flows of solar energy into ecosystems limit growth, as opposed to economies that rely more on stored sources of solar energy (fossil fuels). In an organic economy, holding technology constant, biomass produced from fixed areas of land fund population and economic growth, and the amount of solar energy reaching the earth partly sets the limit on the biomass produced from these sources of land. Eventually, populations' subsistence needs must compete for the land needed to produce the biomass that fuels the growth of political-economic infrastructure, which sets limits on growth (4, 20). Thus, we might expect that fluxes in solar energy cause human populations to synchronize, and, if so, human populations in different biophysical environments should synchronize with each other and with the influx of solar energy. Similarly, we should also expect higher synchrony between energy consumption and solar energy in the organic economies documented by the archaeological records than among societies from western Europe more dependent upon fossil fuels over the last 130 y.

Second, direct interactions such as trade and migration, as well as indirect interactions (e.g., common disease vectors or indirect trade), may cause the synchrony of energy consumption among human populations. These mechanisms capture the process of globalization. Globalization occurs when populations become linked through increasingly dense networks, causing the dynamics of systems in distinct locations to couple (21, 22). In such coupled systems, changes in one location may cascade to other locales, leading to instances of widespread social change (e.g., *ref. 23*). If connections between populations synchronize the consumption of energy by human populations in different locations, then we expect that synchrony will decline as populations become more distant from each other. This is because the strength of interactions between populations should decline as the distance between populations increases.

## Results

We document synchrony in two complementary ways. First, we use the mutual information coefficient to estimate high-frequency synchrony. The mutual information coefficient allows us to document if time series oscillate at the same rhythm around their mean trends (24). Second, we use the Spearman's correlations to observe low-frequency synchrony between the mean trends of the time series that take place over thousands of years in the radiocarbon records and hundreds of years in the historical records.

In brief, we find that (i) the archaeological and historical records of energy consumption often oscillate in rhythm with each other, but not with solar energy. And, importantly, high-frequency synchrony, in both the archaeological and historical

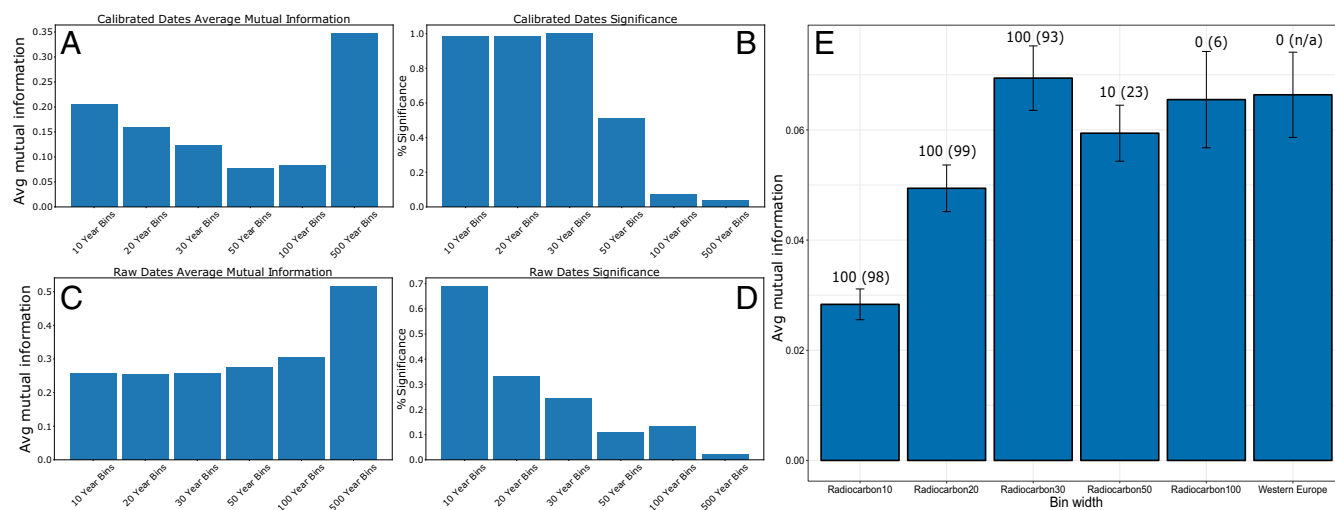
records of energy consumption, declines with distance; and (ii) Spearman's correlations document strong relationships between the mean trends of the radiocarbon and historical records, respectively; however, the mean trend of solar energy only weakly correlates with these prehistoric and historic records of energy consumption.

**The Rhythm of Energy Records.** Fig. 1 *A–D* illustrates the mean mutual information among radiocarbon records of energy consumption and the percentage of significant pairwise mutual information values across six time scales. The patterns in Fig. 1 suggest that many of the radiocarbon records oscillate at a similar rhythm. For example, in Fig. 1*A*, as the bin size of aggregated radiocarbon ages increases, the mean mutual information of the records declines, until a bin size of 500 y, at which point the mean mutual information increases. Similarly, the percentage of significant sequences remains high across small bins and declines at higher bins. As an initial check on these results, we also ran mutual information analysis on raw, uncalibrated radiocarbon ages, and we found synchrony absent any calibration. More importantly, the patterns of synchrony in the archaeological records are redundant with those in the historical records of energy consumption (see below).

While records of energy consumption display high-frequency synchrony, Fig. 1*E* illustrates little evidence of high-frequency synchrony between the consumption of energy and solar energy. The bars in Fig. 1*E* display the mean mutual information between energy consumption records and solar energy records at different time scales for the archaeological datasets and at an annual time scale for the historical dataset. The numbers above the bars are the percentage of sequences that display a significant mutual information and, in parentheses, the percentage of randomly fluctuating simulated radiocarbon records that display significance. The first thing to note is the extremely low mutual information values between solar energy and the records of energy consumption. Regardless of significance, energy consumption and solar energy records share almost no information (i.e., we cannot use a point on one record to predict the next point on another record). In short, it is likely that the significance of the mutual information values in bins 10, 20, and 30 is driven by calibration (*SI Appendix, section 2.A*). More importantly, the mutual information values from the societies documented in the archaeological records equal the mutual information values from the societies in historical times. Societies dependent on organic sources of energy appear no more synchronous with solar energy than fossil-fuel-based economies.

Finally, Fig. 2 *A* and *B* illustrates that the mutual information values of pairwise cases within the same continent (e.g., Arizona and New Mexico) are higher than energy consumption records from different continents (e.g., Arizona and Australia). A Mann–Whitney *U* test indicates that the mutual information values of archaeological cases from within the same continent come from a different distribution of values than those values created by a comparison of records between continents ( $W = 4,993$ ;  $P = 0.01$ ). This same pattern replicates in the historical energy consumption records from western Europe and Canada ( $W = 13$ ;  $P < 0.01$ ). In sum, the high-frequency synchrony of energy consumption in both the archaeological and historical datasets declines with distance. These patterns are consistent with the idea that direct and indirect interactions via trade, war, and migration—globalization—cause the synchrony of energy consumption.

**The Correlation of Mean Trends.** The mean Spearman's rank correlation between all of the radiocarbon time series over 10,000 y is 0.79 and among the historical records is 0.94 over the last 130 y ( $P < 0.01$  in both cases). This indicates that the long-term



**Fig. 1.** *A* and *B* display the mean mutual information and percentage of significant pairwise mutual information values for calibrated radiocarbon ages. A mutual information value of zero indicates no shared information; higher values indicate a stronger relationship (more shared information between time series). Significance is calculated by constructing 1,000 null mutual information values for any two time series using a Markov process. If the actual mutual information value is higher than the 95th percentile of the 1,000 null mutual information values, we considered it significant. *C* and *D* display mean mutual information and percentage of significant mutual interactions for “raw radiocarbon ages.” Raw radiocarbon ages are ages that have not been transformed to a calendar date. The relationship between radiocarbon ages and calendar ages varies over time, in part, as the amount of solar energy hitting the earth changes the proportion of  $^{14}\text{C}$  in the atmosphere (see Data and Methods and *SI Appendix, section 2* on the confounding effects of calibration). (*E*) The mean mutual information between solar and energy consumption records at different bin widths. Historic western Europe and Canada have an annual resolution. The number above each bar indicates the percent of significant pairwise mutual information values in that bin, and the number in parentheses is the percentage of simulated, randomly fluctuating radiocarbon records, once calibrated, that display significance with solar energy. Avg, average; n/a, not available.

mean trends in energy consumption, within each dataset, display synchrony. The correlation of radiocarbon time series varies by continent, while the correlation of the historical records does not (Fig. 2 *B* and *D*). A Mann–Whitney *U* test indicates that the correlation values between archaeological cases from within the same continent come from a different distribution of values than values created by a comparison of records between continents ( $W = 14,950$ ;  $P = 0.03$ ). In contrast, there is insufficient evidence to reject the null in the historical energy consumption records from western Europe and Canada ( $W = 87$ ;  $P = 0.43$ ).

Simply put, while the mean trends of the radiocarbon records all display an exponential increase over 10,000 y, the exact timing of large increases or decreases in the records varies with continent. For example, prehistoric England experiences a large increase with the adoption of agriculture ~6000 cal BP, while Arizona sees a similar spike coincident with the adoption of agriculture ~4200 cal BP. It is the same pattern, with different timing, which weakens the correlation of the mean trends. In contrast, in the historical dataset, all of the countries documented, by 1880, were experiencing rapid shifts toward fossil fuels and industrial production. More time depth or diversity of case studies might reveal a similar pattern to that documented in the radiocarbon records due to variations in the initial start of the industrial revolution across countries (e.g., later in Mexico vs. the United Kingdom).

Finally, while the radiocarbon records of energy consumption all correlate with each other, they, again, do not correlate with solar energy or, in a few cases, display a negative correlation (*SI Appendix, Figs. S8 and S9*). In all historical cases, except England and Germany, the relationship between energy consumption and solar energy is not statistically different from random. The lack of relationship between solar energy and energy consumption, or even a negative relationship, among records from organic economies is inconsistent with the expectation that solar energy limits the growth of human systems.

## Discussion

In this work, we document that the consumption of energy by human populations displays synchrony in archaeological and historical records. Diverse taxa, including tropical primates, often demonstrate population synchrony (1, 25). Consequently, it makes sense that human populations display some degree of synchrony as well. However, to date, researchers have not attempted to document the synchrony of human populations, let alone at a global scale. Our work fills this knowledge gap by beginning to document the scales of space and time at which human populations display synchrony in their consumption of energy.

Based on our results, we suggest a working hypothesis to serve as a springboard for future research. We propose that the primary causes of synchrony among human societies include the creation of trade, migration, and conflict networks at smaller scales (1–100 y) and convergent trajectories of cultural evolution toward more energy-intensive political economies at larger scales (hundreds to thousands of years). Two of our results, in particular, provide a basis for this proposition.

First, energy consumption records fluctuate, on average, less in rhythm between continents as opposed to records from the same continent (Fig. 2 *A* and *B*). Within-continent variation in high-frequency synchrony corroborates this observation as well (*SI Appendix, section 2.A.1*). For example, a strong pairwise mutual information value in the archaeological dataset comes from the Arizona and New Mexico records. The archaeological records of these states share strong similarities, and the populations were integrated into a pan-American Southwest, Mesoamerican trade network prehistorically (26). The redundant patterns of the archaeological and historical records of energy consumption suggest that the creation of relationships via trade, migration, and conflict, rather than solar energy, cause high-frequency synchrony. This also suggests that the process of globalization is nothing new but, rather, a consequence of social and technological evolutionary trajectories that increase the carrying capacities of human societies.





intervals for both calibrated and uncalibrated simulated dates. When analyzing the calibrated ages, we calibrated and constructed summed probability distributions using three different standard errors: 20, 50, and 100. This allowed us to investigate how changes in error affected the synchrony of calibrated radiocarbon ages with each other and with solar energy (*SI Appendix, section 2.A*).

Finally, and, most importantly, we created a frame of reference for what patterns of synchrony should look like among modern human societies by analyzing the synchrony of energy consumption from western Europe and Canada (a frame of reference *sensu ref. 17*). We know that these societies all experienced increases in energy consumption over the last 130 y and were integrated to various degrees via migration, trade, and war. The two methods above allowed us to begin to quantify how much the process of calibration may affect high-frequency synchrony, but will always leave one with an ambiguous situation in which synchrony may be driven by both calibration (methodological procedure) and processes operating in the past. This is the classic problem of archaeological inference. By analyzing the patterns of synchrony in the historical records, we identified patterns of synchrony between energy consumption records and energy consumption and solar energy to juxtapose with the archaeological

data. To the extent that the archaeological patterns of synchrony match those of the modern cases, we can be more confident that the patterns documented in the archaeological records were driven by similar processes as the patterns in the historical records. To the extent that the patterns are not redundant between the two sets of records (archaeological vs. historical), then either (i) calibration is obscuring the patterns and driving most of the synchrony, or (ii) the dynamics of the archaeological cases were different in some fundamental way from those in the historical record. As noted in *Results*, we saw a strong congruence of patterns between the two datasets, which suggests the operation of similar underlying processes, although more work is needed.

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